

Dkt. 35997-A3ZYA/CCD/ADM

THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 1 2 2002

Applicants

Hung-Teh Kao et al.

TECH CENTER 1600/2900

U.S. Serial No.

09/929,313

Group Art Unit: 1646

Filed

August 14, 2001

For

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DNA ENCODING A HUMAN SEROTONIN (5-HT₂)

RECEPTOR AND USES THEREOF

1185 Avenue of the Americas New York, New York 10036

May 31, 2002

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97(b) (3)

This Supplemental Information Disclosure Statement is submitted to supplement the Information Disclosure Statement filed on August 14, 2001 in connection with the above-identified application.

In accordance with their duty of disclosure under 37 C.F.R. § 1.56, applicants would like to direct the Examiner's attention to the following reference which is listed on the attached Form PTO-1449 (Exhibit A) and a copy of which is attached hereto as Exhibit B:

United States Patent No. 6,383,762, issued May 7, 2002, Hung-Teh Kao, et al.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone the number provided below.

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Filed: August 14, 2001

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Applicants are filing this Information Disclosure Statement under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office Action Accordingly, no fee is deemed necessary in on the merits. connection with the filing of this Information Disclosure Statement. However, if a fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231.

Christopen C.D. works

Christopher C. Dunham Registration No. 22,031

Christope C. Dundan

Christopher C. Dunham Registration No. 22,031 Attorney for Applicants Cooper & Dunham, LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

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Hung-Teh Kao U.S. No. 09/929,313 Filed: August 14, 2001

Exhibit: A